

PTO/SB/30 (05-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### Request Application Number 09/819,621 For Filing Date March 29, 2001 Continued Examination (RCE) First Named Inventor **Transmittal** Address to: 1756 Art Unit Mail Stop RCE Commissioner for Patents Angebranndt, Martin J Examiner Name P.O. Box 1450 Alexandria, VA 22313-1450 Attorney Docket Number | 008312-0280037

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

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1. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on
li Other
b. X Enclosed
i. X Amendment/Reply iii. Information Disclosure Statement (IDS)
ii. Affidavit(s)/ Declaration(s) iv. Other
2. Miscellaneous
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a
a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)  b Other
b. Uner
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 033975
ii. X Extension of time fee (37 CFR 1.136 and 1.17)
iii Other
b. Check in the amount of \$enclosed
c. Payment by credit card (Form PTO-2038 enclosed)
WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED
Name (Printl Type) John P. Darling ()  Signature   Date   February 2, 2004
CERTIFICATE OF MAILING OR TRANSMISSION
Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope
addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.
Name (Print/Type)

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

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# Instruction Sheet for RCEs

(not to be submitted to the USPTO)

## NOTES:

An RCE is not a new application, and filing an RCE will not result in an application being accorded a new filing date.

#### Filing Qualifications:

The application must be a utility or plant application filed on or after June 8, 1995. The application cannot be a provisional application, a utility or plant application filed before June 8, 1995, a design application, or a patent under reexamination. See 37 CFR 1.114(e).

#### Filing Requirements:

**Prosecution in the application must be closed.** Prosecution is closed if the application is under appeal, or the last Office action is a final action, a notice of allowance, or an action that otherwise closes prosecution in the application (e.g., an Office action under *Ex parte Quayle*). See 37 CFR 1.114(b).

A submission and a fee are required at the time the RCE is filed. If reply to an Office action under 35 U.S.C. 132 is outstanding (e.g., the application is under final rejection), the submission must meet the reply requirements of 37 CFR 1.111. If there is no outstanding Office action, the submission can be an information disclosure statement, an amendment, new arguments, or new evidence. See 37 CFR 1.114(c). The submission may be a previously filed amendment (e.g., an amendment after final rejection).

# **WARNINGS:**

## Request for Suspension of Action:

All RCE filing requirements must be met before suspension of action is granted. A request for a suspension of action under 37 CFR 1.103(c) does <u>not</u> satisfy the submission requirement and does not permit the filing of the required submission to be suspended.

# Improper RCE will NOT toll Any Time Period:

**Before Appeal** - If the RCE is improper (e.g., prosecution in the application is not closed or the submission or fee has not been filed) and the application is not under appeal, the time period set forth in the last Office action will continue to run and the application will be abandoned after the statutory time period has expired if a reply to the Office action is not timely filed. No additional time will be given to correct the improper RCE.

Under Appeal - If the RCE is improper (e.g., the submission or the fee has not been filed) and the application is under appeal, the improper RCE is effective to withdraw the appeal. Withdrawal of the appeal results in the allowance or abandonment of the application depending on the status of the claims. If there are no allowed claims, the application is abandoned. If there is at least one allowed claim, the application will be passed to issue on the allowed claim(s). See MPEP 1215.01.

See MPEP 706.07(h) for further information on the RCE practice.



PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays and particle AMB control number

#### Complete if Known FEE TRANSMITTAL Application Number 09/819,621 March 29, 2001 Filing Date for FY 2004 TODORI ET AI First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Examiner Name Angebranndt, Martin Applicant claims small entity status. See 37 CFR 1.27 1756 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 880.00 008312-0280037 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order 3. ADDITIONAL FEES Check Credit card Other None Large Entity , Small Entity X Deposit Account Fee Fee Fee Description Deposit Account Code Code Fee Paid 033975 1051 2051 130 65 Surcharge - late filing fee or oath Number Deposit 2052 25 Surcharge - late provisional filing fee or 1052 50 PILLSBURY WINTHROP LLP cover sheet Name 130 130 Non-English specification 1053 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 X Charge fee(s) indicated below Credit any overpayments 1804 9201 920\* Requesting publication of SIR prior to 1804 X Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1.840 1805 1.840 Examiner action to the above-identified deposit account 110.00 55 Extension for reply within first month 110 2251 1251 FEE CALCULATION 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE 475 Extension for reply within third month Large Entity Small Entity 1253 950 2253 Fee Paid Fee Description 1254 1,480 740 Extension for reply within fourth month 2254 1,005 Extension for reply within fifth month 2255 1255 2 010 1001 770 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1402 330 2402 165 Filing brief in support of an appeal 1003 530 2003 265 Plant filing fee 1403 290 2403 145 Request for oral hearing 1004 770 2004 385 Reissue filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1005 160 2005 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0.00 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Ext<u>ra Claim</u>s 1502 480 2502 240 Design issue fee below **Total Claims** -20\*\* = Х 640 2503 320 Plant issue fee 1503 Independent XXX = 0 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180 Submission of Information Disclosure Stmt 180 1806 1806 **Fee Description** 40 Recording each patent assignment per property (times number of properties) Code (\$) Code (\$) 40 8021 8021 1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1201 2201 43 Independent claims in excess of 3 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1203 290 770 2810 1810 \*\* Reissue independent claims 1204 86 2204 385 Request for Continued Examination (RCE) 770.00 1801 770 2801 over original patent 900 1802 900 Request for expedited examination Reissue claims in excess of 20 1205 18 2205 and over original patent of a design application Other fee (specify) 0.00 SUBTOTAL (2) (\$) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 880.00 \*\*or number previously paid, if greater; For Reissues, see above (Complete (if applicable) SUBMITTED BY Registration No. Name (Print/Type)

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(Attomey/Agent)

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John P. Darling

Signature

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